

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No. 031749/268956

Applicant, Patentee, or Identifier: Halbert et al.

Application No. or Patent No.: 10/657,404

Filed or Issued: September 8, 2003

Title: NON-NATURALLY OCCURRING LIPOPROTEIN PARTICLE

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.27(a)(3))--NONPROFIT ORGANIZATION

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION UNIVERSITY OF STRATHCLYDE
ADDRESS OF NONPROFIT ORGANIZATION McCance Building, 16 Richmond Street,
Glasgow G1 1XQ, United Kingdom

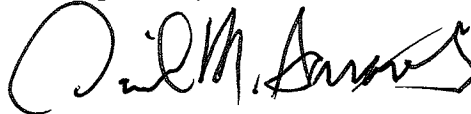
TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE
(26 U.S.C. 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF
STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE
SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE
UNITED STATES OF AMERICA
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UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF
LOCATED IN THE UNITED STATES OF AMERICA
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I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office, under Section 41(a) and (b) of Title 35, United States Code, regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

Respectfully submitted,



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